

Receipt

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 - FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited postage prepaid, with the United States Postal Service as "First Class Mail" in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on August 7, 2001.

Jeannie M. Ha

(Signature)

By: Jeannie M. Ha

(Type Name)

Patent Application

Attorney's Docket No.: CNX00-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kleckner et al.

Application No.: 09/866,018

Filed: May 24, 2001

For: USING DIGITAL SIGNATURES TO
STREAMLINE THE PROCESS OF
AMENDING FINANCIAL TRANSACTIONS

)
)
) Group Art Unit: *Unassigned*
)
) Examiner: *Unassigned*
)
)
)
)

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination's
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a copy of the official Filing Receipt marked in red to identify the corrections needed. The corrections are as follows:

The Domestic Priority data as claimed by applicant should read "THIS APPLN CLAIMS BENEFIT OF 60/285,921 4/23/2001".

Please find enclosed a check for the \$25.00 fee. Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

08/21/2001 MABDI1 00000018 09866018
01 FC/227 25.00 OP

Dated: August 7, 2001

By: *A. Richard Park*
A. Richard Park
Registration No. 41,241

Park, Vaughan & Fleming LLP
508 Second St., Ste 201
Davis, CA 95616
(530) 759-1661

Refund Ref: 08/23/2001 TL0011 0000108776

- 1 of 1 -

CHECK Refund Total:

\$25.00

C:\MY DOCUMENTS\CURRENEX\CNX00-0002\CORRECTED FILING RECEIPT.DOC

RECEIVED
SEP 07 2001
Technology Center 2100

Adjustment date: 08/23/2001 MABDI1
08/21/2001 MABDI1 00000018 09866018
01 FC:227 -25.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/866,018	05/24/2001	2161	445	CNX00-0002	12	30	3

CONFIRMATION NO. 5188

FILING RECEIPT



OC000000006348626

A. Richard Park
Park & Vaughan LLP
508 Second Street, Suite 201
Davis, CA 95616

Date Mailed: 07/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James E. Kleckner, Palo Alto, CA;
Renee D. Vellaescusa, Union City, CA;
Eric Strellis, Albany, CA;
Minh Triet Tran, San Francisco, CA;

Domestic Priority data as claimed by applicant 60/285,921 4/23/01

THIS APPLN CLAIMS BENEFIT OF 60/258,921 12/29/2000*

(*) Data inconsistent with PTO records.

RECEIVED

SEP 07 2001

Foreign Applications

Technology Center 2100

If Required, Foreign Filing License Granted 07/26/2001

Projected Publication Date: 07/04/2002

Non-Publication Request: No

DOCKETED

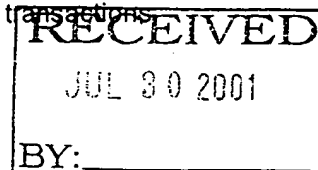
Early Publication Request: No

JUL 31 2001

** SMALL ENTITY **

Title

Using digital signatures to streamline the process of amending financial transactions



Preliminary Class

705

Data entry by : HAILE, MENTUAB

Team : OIPE

Date: 07/26/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

FILE COPY

CONFIRMATION NO. 5188

SERIAL NUMBER 09/866,018	FILING DATE 05/24/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. CNX00-0002
------------------------------------	---	---------------------	-------------------------------	--

APPLICANTS
James E. Kleckner, Palo Alto, CA;
Renee D. Vellaescusa, Union City, CA;
Eric Strellis, Albany, CA;
Minh Triet Tran, San Francisco, CA;

**** CONTINUING DATA *******
THIS APPLN CLAIMS BENEFIT OF 60/285,921 04/23/2001

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
** 07/26/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 12	TOTAL CLAIMS 30	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

ADDRESS
A. Richard Park
Park & Vaughan LLP
508 Second Street, Suite 201
Davis ,CA 95616

TITLE
Using digital signatures to streamline the process of amending financial transactions

FILING FEE RECEIVED 445	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit